



U.S. Department of Justice

*United States Attorney
Southern District of New York*

*The Silvio J. Mollo Building
One Saint Andrew's Plaza
New York, New York 10007*

April 2, 2018

By ECF and Email

The Honorable Ronnie Abrams
United States District Judge
40 Foley Square
New York, NY 10007

Re: United States v. Jason Galanis, et al., S3 16 Cr. 371 (RA)

Dear Judge Abrams:

The Government respectfully submits the parties' verdict forms. The Government's proposed verdict form is attached as Exhibit A, and the defendants' proposed verdict form is attached as Exhibit B.

Respectfully submitted,

ROBERT KHUZAMI
Attorney for the United States, Acting Under
Authority Conferred by 28 U.S.C. § 515

By: /s/ Negar Tekeei
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Exhibit A

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

-----X
UNITED STATES OF AMERICA, :
 :
 - v. - : S3 16 Cr. 371 (RA)
 :
 GARY HIRST, :
 JOHN GALANIS, :
 a/k/a "Yanni," :
 MICHELLE MORTON, :
 DEVON ARCHER, and :
 BEVAN COONEY, :
 :
 Defendants. :
-----X

Please indicate your verdicts with a check mark (✓):

COUNT ONE
(Conspiracy to Commit Securities Fraud)

GARY HIRST:	Guilty _____	Not Guilty _____
JOHN GALANIS:	Guilty _____	Not Guilty _____
MICHELLE MORTON:	Guilty _____	Not Guilty _____
DEVON ARCHER:	Guilty _____	Not Guilty _____
BEVAN COONEY:	Guilty _____	Not Guilty _____

COUNT TWO
(Securities Fraud)

GARY HIRST: Guilty _____ Not Guilty _____

JOHN GALANIS: Guilty _____ Not Guilty _____

MICHELLE MORTON: Guilty _____ Not Guilty _____

DEVON ARCHER: Guilty _____ Not Guilty _____

BEVAN COONEY: Guilty _____ Not Guilty _____

COUNT THREE
(Conspiracy to Commit Investment Adviser Fraud)

GARY HIRST: Guilty _____ Not Guilty _____

MICHELLE MORTON: Guilty _____ Not Guilty _____

COUNT FOUR
(Investment Adviser Fraud)

GARY HIRST: Guilty _____ Not Guilty _____

MICHELLE MORTON: Guilty _____ Not Guilty _____

Exhibit B

Defense Proposed Verdict Form

**UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK**

UNITED STATES OF AMERICA,

v.

GARY HIRST,
JOHN GALANIS, a/k/a “Yanni,”
MICHELLE MORTON,
DEVON ARCHER, and
BEVAN COONEY,

Defendants.

No. S3 16 Cr. 371 (RA)

PROPOSED VERDICT SHEET

Please indicate your verdicts with a check mark (✓)

EACH MEMBER OF THE JURY MUST AGREE ON EACH AND EVERY ITEM

COUNT ONE

With respect to each of the defendants listed below, has the government proven beyond a reasonable doubt that the defendant you are considering committed the crime of conspiracy to commit securities fraud?

GARY HIRST:	_____ Not Guilty	_____ Guilty
JOHN GALANIS:	_____ Not Guilty	_____ Guilty
MICHELLE MORTON:	_____ Not Guilty	_____ Guilty
DEVON ARCHER:	_____ Not Guilty	_____ Guilty
BEVAN COONEY:	_____ Not Guilty	_____ Guilty

COUNT TWO

With respect to the defendant listed below, has the government proven beyond a reasonable doubt that the defendant you are considering committed the crime of securities fraud?

GARY HIRST: _____ Not Guilty _____ Guilty

If the jury's verdict is "Not Guilty," please move on to the next defendant. If the jury selected "Guilty," please answer the following additional questions.

1. Does the jury unanimously agree that there is proof beyond a reasonable doubt that Mr. Hirst committed securities fraud in connection with one of the four Wakpamni Lake Community Corporation bond issuances? _____ No _____ Yes

2. If the jury answered "yes," please list which one(s): _____

If the jury answered "no," you must go back and find Mr. Hirst not guilty of securities fraud, regardless of any other evidence.

3. With respect to each WLCC bond issuance listed by the jury in response to the previous question, has the government presented proof beyond a reasonable doubt that Mr. Hirst (a) committed a primary violation of securities fraud, (b) aided and abetted someone else's commission of securities fraud in connection with that issuance, or (c) neither?

If the jury answered (c), you must go back and find Mr. Hirst not guilty of securities fraud with respect to that issuance, regardless of any other evidence.

(Count Two, Continued)

With respect to the defendant listed below, has the government proven beyond a reasonable doubt that the defendant you are considering committed the crime of securities fraud?

JOHN GALANIS: _____ Not Guilty _____ Guilty

If the jury's verdict is "Not Guilty," please move on to the next defendant. If the jury selected "Guilty," please answer the following additional questions.

1. Does the jury unanimously agree that there is proof beyond a reasonable doubt that Mr. Galanis committed securities fraud in connection with one of the four Wakpamni Lake Community Corporation bond issuances? _____ No _____ Yes

2. If the jury answered "yes," please list which one(s): _____

If the jury answered "no," you must go back and find Mr. Galanis not guilty of securities fraud, regardless of any other evidence.

3. With respect to each WLCC bond issuance listed by the jury in response to the previous question, has the government presented proof beyond a reasonable doubt that Mr. Galanis (a) committed a primary violation of securities fraud, (b) aided and abetted someone else's commission of securities fraud in connection with that issuance, or (c) neither?

If the jury answered (c), you must go back and find Mr. Galanis not guilty of securities fraud with respect to that issuance, regardless of any other evidence.

(Count Two, Continued)

With respect to the defendant listed below, has the government proven beyond a reasonable doubt that the defendant you are considering committed the crime of securities fraud?

MICHELLE MORTON: _____ Not Guilty _____ Guilty

If the jury's verdict is "Not Guilty," please move on to the next defendant. If the jury selected "Guilty," please answer the following additional questions.

1. Does the jury unanimously agree that there is proof beyond a reasonable doubt that Ms. Morton committed securities fraud in connection with one of the four Wakpamni Lake Community Corporation bond issuances? _____ No _____ Yes

2. If the jury answered "yes," please list which one(s): _____

If the jury answered "no," you must go back and find Ms. Morton not guilty of securities fraud, regardless of any other evidence.

3. With respect to each WLCC bond issuance listed by the jury in response to the previous question, has the government presented proof beyond a reasonable doubt that Ms. Morton (a) committed a primary violation of securities fraud, (b) aided and abetted someone else's commission of securities fraud in connection with that issuance, or (c) neither?

If the jury answered (c), you must go back and find Ms. Morton not guilty of securities fraud with respect to that issuance, regardless of any other evidence.

(Count Two, Continued)

With respect to the defendant listed below, has the government proven beyond a reasonable doubt that the defendant you are considering committed the crime of securities fraud?

DEVON ARCHER: _____ Not Guilty _____ Guilty

If the jury's verdict is "Not Guilty," please move on to the next defendant. If the jury selected "Guilty," please answer the following additional questions.

1. Does the jury unanimously agree that there is proof beyond a reasonable doubt that Mr. Archer committed securities fraud in connection with one of the four Wakpamni Lake Community Corporation bond issuances? _____ No _____ Yes

2. If the jury answered "yes," please list which one(s): _____

If the jury answered "no," you must go back and find Mr. Archer not guilty of securities fraud, regardless of any other evidence.

3. With respect to each WLCC bond issuance listed by the jury in response to the previous question, has the government presented proof beyond a reasonable doubt that Mr. Archer (a) committed a primary violation of securities fraud, (b) aided and abetted someone else's commission of securities fraud in connection with that issuance, or (c) neither?

If the jury answered (c), you must go back and find Mr. Archer not guilty of securities fraud with respect to that issuance, regardless of any other evidence.

(Count Two, Continued)

With respect to the defendant listed below, has the government proven beyond a reasonable doubt that the defendant you are considering committed the crime of securities fraud?

BEVAN COONEY: _____ Not Guilty _____ Guilty

If the jury's verdict is "Not Guilty," please move on to the next defendant. If the jury selected "Guilty," please answer the following additional questions.

1. Does the jury unanimously agree that there is proof beyond a reasonable doubt that Mr. Cooney committed securities fraud in connection with one of the four Wakpamni Lake Community Corporation bond issuances? _____ No _____ Yes

2. If the jury answered "yes," please list which one(s): _____

If the jury answered "no," you must go back and find Mr. Cooney not guilty of securities fraud, regardless of any other evidence.

3. With respect to each WLCC bond issuance listed by the jury in response to the previous question, has the government presented proof beyond a reasonable doubt that Mr. Cooney (a) committed a primary violation of securities fraud, (b) aided and abetted someone else's commission of securities fraud in connection with that issuance, or (c) neither?

If the jury answered (c), you must go back and find Mr. Cooney not guilty of securities fraud with respect to that issuance, regardless of any other evidence.

COUNT THREE

With respect to each of the defendants listed below, has the government proven beyond a reasonable doubt that the defendant you are considering committed the crime of conspiracy to commit investment adviser fraud?

GARY HIRST: _____ Not Guilty _____ Guilty

MICHELLE MORTON: _____ Not Guilty _____ Guilty

COUNT FOUR

With respect to the defendant listed below, has the government proven beyond a reasonable doubt that the defendant you are considering committed the crime of investment adviser fraud?

GARY HIRST: _____ Not Guilty _____ Guilty

If the jury's verdict is "Not Guilty," please move on to the next defendant. If the jury selected "Guilty," please answer the following additional questions.

1. Does the jury unanimously agree that there is proof beyond a reasonable doubt that Mr. Hirst committed investment adviser fraud in connection with one of the four Wakpamni Lake Community Corporation bond issuances? _____ No _____ Yes

2. If the jury answered "yes," please list which one(s): _____

If the jury answered "no," you must go back and find Mr. Hirst not guilty of investment adviser fraud, regardless of any other evidence.

3. With respect to each WLCC bond issuance listed by the jury in response to the previous question, has the government presented proof beyond a reasonable doubt that Mr. Hirst (a) committed a primary violation of investment adviser fraud, (b) aided and abetted someone else's commission of investment adviser fraud in connection with that issuance, or (c) neither?

If the jury answered (c), you must go back and find Mr. Hirst not guilty of investment adviser fraud with respect to that issuance, regardless of any other evidence.

(Count Four, Continued)

With respect to the defendant listed below, has the government proven beyond a reasonable doubt that the defendant you are considering committed the crime of investment adviser fraud?

MICHELLE MORTON: _____ Not Guilty _____ Guilty

If the jury's verdict is "Not Guilty," please move on to the next defendant. If the jury selected "Guilty," please answer the following additional questions.

1. Does the jury unanimously agree that there is proof beyond a reasonable doubt that Ms. Morton committed investment adviser fraud in connection with one of the four Wakpamni Lake Community Corporation bond issuances? _____ No _____ Yes

2. If the jury answered "yes," please list which one(s): _____

If the jury answered "no," you must go back and find Ms. Morton not guilty of investment adviser fraud, regardless of any other evidence.

3. With respect to each WLCC bond issuance listed by the jury in response to the previous question, has the government presented proof beyond a reasonable doubt that Ms. Morton (a) committed a primary violation of investment adviser fraud, (b) aided and abetted someone else's commission of investment adviser fraud in connection with that issuance, or (c) neither?

If the jury answered (c), you must go back and find Ms. Morton not guilty of investment adviser fraud with respect to that issuance, regardless of any other evidence.